

AHCA Incident Reporting System (AIRS) Federal Reporting (FEDREP) Report Submission Guide

Table of Contents

Overview of Online Reporting	p. 3
Federally Required Reporting	p. 3
Adverse Incident Reporting	p. 3
Single Sign-On Access – AHCA Portal	p. 4
Gaining Access	р. 4
Removing Users	p. 4
Forgotten User IDs and/or Password	p. 4
Editing User Information	p. 5
Dashboard	p. 6
	n 6
Report Status Types	p. 0 n 6
New Report	p. 0 n 7
Seach Report	p. 7
Helpful Links	p. 8
Completing a Federal Report	n 9
	p. 5
Report Details Parier Navigation	p. 9
Provider Information Derson Reporting	p. 9
Person Reporting	p. 9
Resident Anoracontative	p. 9 p. 10
Supporting Documents	p. 10 p. 11
Comments	p. 11 p. 12
Boview and Submit	p. 12
Report Status History	p. 13 p. 13
Preliminary (Immediate) Submission Required Information	p 14
	p. 14
	p. 14
Allegations	p. 15
NOTIFICATIONS	p. 15
Individuals Involved	p. 15
Allegation Details	p. 16
Full (5-day) Submission Required Information	p. 17
Full Report Mode	p. 17
Analysis	p. 18
Conclusion	р. 19

What Happens After Submission	p. 19
Email Communication	p. 19
Need Info Status	p. 20
Cancel or Withdraw Reports	p. 20
Troubleshooting	p. 20

Contact	AHCA
	-

p. 20

Overview of Online Reporting

Federally Required Reporting

Nursing Homes and Skilled Nursing Units are required to report certain incidents to the Agency. §483.12(c) In response to allegations of abuse, neglect, exploitation, or mistreatment, the facility must:

§483.12(c)(1) Ensure that all alleged violations involving abuse, neglect, exploitation or mistreatment, including injuries of unknown source and misappropriation of resident property, are reported immediately, but not later than 2 hours after the allegation is made, if the events that cause the allegation involve abuse or result in serious bodily injury, or not later than 24 hours if the events that cause the allegation do not involve abuse and do not result in serious bodily injury, to the administrator of the facility and to other officials (including to the State Survey Agency and adult protective services where state law provides for jurisdiction in long-term care facilities) in accordance with State law through established procedures.

§483.12(c)(4) Report the results of all investigations to the administrator or his or her designated representative and to other officials in accordance with State law, including to the State Survey Agency, within 5 working days of the incident, and if the alleged violation is verified appropriate corrective action must be taken.

The Agency receives these reports through the AHCA Incident Reporting System (AIRS) which also receives facility-reported Adverse Incidents.

Adverse Incidents are state-required reports detailed under Florida Statute 400.147. Please refer to the Adverse Incident Report Submission Guide if necessary.

Single Sign-On Access – AHCA Portal

Gaining Access

AHCA provides an online system for timely submission of all required reports. It is highly recommended to have multiple users with access to the reporting system. Only electronic submissions are accepted. Faxed or emailed reports are not accepted.

To obtain access to AIRS, a username and password must be obtained through the Single Sign-On Server, known as the AHCA Portal. If you do not have access to the Portal, you will need to create a Single Sign-On account before requesting access to AIRS and submitting a user agreement. The user agreement for new accounts must be received and approved by Agency staff before accessing the site. Once access is granted, users may submit both Federal reports and adverse incident reports via AIRS.

Go to: https://apps.ahca.myflorida.com/SingleSignonPortal/Login.aspx

Detailed registration instructions are available on the website. You may go to: https://ahca.myflorida.com/content/download/6100/file/AIRSSSOUserRegistrationGuideupdated.pdf

As a reminder, do not disclose or lend your user ID or password to anyone. They are for your use only and will serve as your electronic signature. As a result, you are held responsible for any consequences of unauthorized or illegal transactions.

Removing Users

When a user is no longer employed at your facility, it is your responsibility to request their access be removed. Removal can be achieved by emailing: <u>ahcaregistration@ahca.myflorida.com</u>. Until the formal request has been received, former employees will be able to access the system, submit, and review all records.

Forgotten User IDs and/or Password

Forgotten User ID

If you forget your user ID, look for the copy of your User Registration Agreement. The user ID appears on the upper right side of the form. If you are unable to locate the user agreement, you will need to contact the Central Intake Unit at 850-412-3951. They will require additional information to verify your identify before providing the requested information. If they are unable to find your user ID or cannot verify your identity, you will need to re-register and resend all required documentation.

Forgotten Password

We do not have access to a user's password. If you forget your password, please click the Forgot Your Password link on the sign-on page and follow the directions. If you are unable to answer your security question, you will not be able to recover the password for your account. You will need to re-register and resend all required documentation.

Α	HCA Portal Login
User ID:	
Password:	
	Log In
	Forgot Your Password?
	Reset Password Instructions
	New User Registration

Editing User Information

When you log in, you are first directed to the AHCA Portal – Portal Landing page. Under the section "Manage Account", you will be able to edit your user information, including changing your password and updating your security questions and answers. Click Edit User Information to access.

AHCA Portal - Portal Landing		
Program Access		
Select the appropriate link below to be directed	to the Progra	am's access page.
AHCA Incident Reporting System	<u>em - AHC</u>	A Incident Reporting System
Background Screening Clearing	<u>qhouse -</u>	Agency For Health Care Administration
Request Program Access		
Choose from the list of programs below and se	lect "Request	Program Access".
Select Program	~	Request Program Access
Manage Account		
Edit User Information		
Change Password		
Update Security Question and Answe	Ľ	

Your email address must be correct as the system will send automated emails regarding every report you submit. Ensure your phone number is also entered correctly as there are times when Agency staff may need immediate information from you to clarify a report.

Dashboard

Overview

The dashboard is the main landing page for your submitted reports. It is broken into three sections: Needs Attention, In Progress, and Submitted. Once the initial report has been reviewed by AHCA staff, the current status will be reflected on your dashboard. You may check the current status of your report at any time by viewing your dashboard.

	Incident Reporting	System - AIRS				. 🖻
**	ishboard + New Q S	Search 🕜 Helpful Links				🚱 AHCA Portal/Logo
Needs Attention)					
Report #	Provider Type	Provider Name	Report Type	Submitted Date	Status Date	Current Status
			No Data To I	Display		
нчэн	5 • items per page					No items to display
In Progress	8					
Report #	Provider Type	Provider Name	Report Type	Submitted Date	Status Date	Current Status
			No Data To I	Display		
нчьн	5 • items per page					No items to display
Submitted						
Report #	Provider Type	Provider Name	Report Type	Submitted Date	Status Date	Current Status
			No Data To I	Display		
нчьн	5 • items per page					No items to display

Report Status Types

Needs Attention

Once your initial report is reviewed by AHCA staff, the current status will appear on your dashboard under this section as either "Reviewed" or "Need Info." If your report is in "Reviewed" status, AHCA staff are awaiting your follow-up report submission. If your report is in "Need Info" mode, additional details are required to be submitted.

In Progress

If your current status is "New", it will appear under the "In Progress" section because the report has not yet been submitted. Once submitted, the report will move to the "Submitted" section.

Your report will move to "In Progress" again once you begin to work on your follow-up report. If you've only saved the report, it will continue to show as "In Progress." You will need to submit the report to AHCA staff.

Submitted

Once you submit the initial report, the report will appear on your dashboard under the "Submitted" heading. These reports still require a follow-up report. This is the initial place your report will appear after submission.

Other Report Statuses

Other Report Statuses can include "Administratively Closed" or "Withdrawn." Occasionally, AHCA staff may change a report to "Administratively Closed" when requested information was not received by a provider within a certain timeframe. Additionally, any time a facility withdraws a report, it will change to "Withdrawn" status. It is important to remember that once a report has entered either "Administratively Closed" or "Withdrawn" status, providers cannot reopen the report to add information. If a report falling under one of these conditions must be reopened, an email must be sent to FEDREP@AHCA.myflorida.com requesting the report be reopened and an explanation as to why it must be reopened.

Once your full report has been submitted and closed by Agency staff, your report will disappear from your dashboard. You will need to use the Search tab to view submitted reports.

New Report

To start a new report, click on "New" to begin filling out your allegation report. You will need to select Provider Type, Provider Name, and Report Type. Please ensure you are completing the appropriate report type, as two options will be available to nursing home providers, Federal Report and Adverse Incident. Selecting Federal Report will bring up your facility's information.

AHCA Incident Reporting Syste	em - AIRS
A Dashboard + New Q Search	7 Helpful Links
Create New Report	
Please provide the information below to start a new report.	
	D 11 N
Provider Type	Provider Name
Provider Type Select	Select V
Provider Type Select Report Type	Select
Provider Type Select Report Type Select	Select

Click on Start Report to begin.

Seach Report

The "Search" tab can be used to look up all reports for your facility or to look up a specific report. Please note that all items are not required. At a minimum, you must either enter a report #, provider license #, or provider name.



At the minimum, either enter a k	eport # or choose a provider using the Pl	ovider/Plan Name or Provider License # or P	Ian Medicaid ID# fields to sear
Report # 🔹		Report Type (Select a Report Type to dis	play additional search fields
		specific to that report.)	
		Select	~
Provider Name *		B	
Select	~	Provider License # *	
Initial Submitted Start Date	Initial Submitted End Date		
	m 1		

Once you complete one of the 3 required fields, click search.

Helpful Links

The "Helpful Links" tab has been added to allow facilities to click on a hyperlink to navigate to several useful websites. The Report Submission Guide can be found for both Adverse Incidents as well as for Federal reporting.

	AHCA Incide	ent Repor	ting Syste	m - AIRS	
	A Dashboard	+ New	Q Search	Helpful Links	
Facility/Provid	ler				
Please refer to	the documentation belo	w for assistance.			
• Refer to The	Office of Risk Manageme	ent and Patient Saf	ety website for impo	rtant information, alerts, and contact information.	
• Refer to REP	ORT SUBMISSION GUIDE	to navigate throu	gh the AHCA Inciden	t Reporting System (AIRS) and submit a federal report. (English Ve	rsion)
• Refer to Flor	ida Department of Health	License Verificatio	on to verify an individ	lual's license number.	
Refer to Mul	ltistate Licensure to verify	a nurse's compac	t license number whi	ch originated in another state.	
• Refer to Cen	ters for Medicare and Me	dicaid Services (Cl	vIS) Exhibits 358 and	359 for reporting requirements.	

Completing a Federal Report

Report Details Panel Navigation

Report Details	Ø
Provider Information	
Person Reporting	
Resident Information	
Resident Representative	
Incident Information	
Allegations	
Notifications	
Individuals Involved	
Allegation Details	
Analysis	
Conclusion	
Supporting Documents	
Comments	
Review and Submit	
Report Status History	

On the left side of each report is a navigation panel containing all required sections for the report. Once a specific tab has received all required information, a checkmark will appear next to the specific section. The report cannot be submitted without the required checkmark on each tab. There are exceptions to this, including the Provider Information tab. On the preliminary (immediate) report, the Analysis and Conclusion tabs will not be available to the user. Once the report mode has been changed to full however, Analysis and Conclusion tabs will require information.

At the end of each section, you will see Save and Save/Next buttons that will bring you to the next page/tab. You can also navigate to another section by clicking the appropriate tab under the Report Details panel.

Save/Next

Provider Information

The Provider Information section will be automatically populated with the required fields. Please remember that no checkmark will appear for this section.

Save

Person Reporting

The Person Reporting section will have some populated information based upon the user application that was submitted. You will need to choose your title from the drop-down box and provide your professional individual license number if you are a licensed staff member. You can verify a license number by using the links provided under the "Helpful Links" tab.

If a different user is submitting the full report or they are updating the preliminary report, please ensure they change the license information to reflect the user's license information.

Resident Information

The Resident Information section requires all the resident-centered information for each resident involved in the allegation. To add a resident, click the Add Resident button.

Resident Inform	nation 🕐				
				D Ad	d Resident
First Name	Last Name	Current Location of Alleged Victim	Date of Birth	Gender	Action
		i⊄> Next			

Complete the required information and click save. Please note that all resident information boxes are required.

First Name	Last Name	
Date of Birth (mm/dd/yyyy)	Current Location of Alleged Victim	
Gender		

To add an additional resident, follow the same instructions as above. Each resident involved in the incident is required to be listed.

Resident 🗸	Resident Inform	nation 🕜				
nformation						Add Resident
lesident lepresentative	First Name	Last Name	Current Location of Alleged Victim	Date of Birth	Gender	Action
Incident	Daffy	Duck	Room 124A	01/01/1938	М	6 0
formation						
llegations			C? Next			

Note the checkmark received, indicating that the required information was supplied. Once all residents have been listed, click Next.

Resident Representative

Each resident listed in the Resident Information section is required to have their resident representative's information provided. The resident(s) listed in the previous section will be already listed in the section. To add the resident representative information, click Add.

Resident Representatives 0	P.								
Resident Name	First Name	Last Name	Address	City	State	Zip	Phone #	Relationship	
Datty Duck									Add
				Ø Next					

If the resident represents themselves, please click the check-box indicating such situation exists. If a resident does represent themselves, by checking the box, all resident information will populate into the required sections.

First Name	Last Name
Address	City
State	Zip
Select 🗸	
Phone	Relationship

Once complete, click Save.

If the resident does not represent themselves, their representative's information must be provided. Fill in the required boxes. Once complete, click save. Each resident listed in the report will require resident representative information to be supplied.

Resident Information		Resident Representatives	9								
Resident Representative											
Incident Information		Resident Name	First Name	Last Name	Address	City	State	Zip	Phone #	Relationship	Action
Allegations		Daffy Duck	Huey	Duck	31 Brookvale Ave	West Babylon	NY	11704	631-587-7722	nephew	C 🖬
Notifications						Ø Next					
Individuals Involved											

Once each resident has a corresponding resident representative listed and a checkmark has been noted in the Report Details navigation panel, click next.

Supporting Documents

Some providers may need to upload additional information upon Agency request. Supporting documents should always support what you have already written in your report. It is never acceptable to write "See attached" in any of the sections within the report. You will not receive a checkbox under this section if none are provided. Please note that once you upload a document there is no way to remove that document from the report.

Supporting Documents 🕑						
The Agency scans supporting do wirus and upload the document - To assist with a completed analy- Autops/Report Police Report Autops/Report Armendments Toxicology report Additional Information You may attach additional Information You may attach additinformation You may attach additional Information You may	cuments for viruses as they are uploaded to A again. If you cannot clean the document or fin sis of an Allegation report, the agency shall ha mation that does not fit neatly into any of th I G B must be broken down into multiple files t	IRS. Expect a 30 second delay as the viru d an uninfected version of the file, you may ve access to all licensed facility's records in a bove categories as needed. refore they will be accepted.	Is scan is running. If a threat is detected, the di / have to recreate the document. acessary to cany out the review of the record ar	ocument will not be uploaded t	o AIRS. Use your own virus	scanning software to remove the wing document(s):
Document Type	Document Name	Submitted By	Submitted Date	Status	Status Date	Action

Once all documents have been uploaded, click the Next button.

Comments

The Comments section is view-only for providers. If you receive an automated email stating that further action will or may be required, you will need to open your report to view the specific information required. When you open your report, it will automatically open to the Comments section. Review the comments/questions and respond. Each comment will have a corresponding Section Name. Click the section name and it will automatically route you to the correct section to update your information.

Please don't duplicate information as the report will save and separate each resubmission.

If you resubmit the report without responding to the comments, the comment will be reposted and your report reopened. Providers only need to respond to those questions with the latest date. The previous questions will remain listed even if they have been answered. Please note that failing to answer the requested questions will result in your report being Administratively Closed and survey action will result.

Only Agency staff can add section comments. Please respond to section comments by clicking on the Section Name link to navigate to the appropriate section and editing the field(s) on the da	ta
entry screen. Click here to view Comments in a new window.	

Created Date	Section Name	Comment	Created By
03/18/2021 01:48:58 PM	Notifications	Notifications: Please clarify - If the physician/PA/ARNP was notified AT THE TIME OF THE INCIDENT: list the provider's NAME and orders/recommendations. If you did not receive any orders or recommendations, please indicate that in the space provided. Please note: If you left a message for the physician or ARNP, indicate if you received a call back and if orders/recommendations were provided.	FDHC\morthie
03/18/2021 01:48:19 PM	Notifications	Notifications: Under "External Agencies Notified?" Please list the name of the law enforcement agency/department you contacted.	FDHC\morthie
03/18/2021 01:47:17 PM	Outcomes	OUTCOMES: Please check every applicable outcome. If the resident was transferred out of your facility for more acute or higher level of care due to the incident rather than the resident's condition before the incident, please check the appropriate box and list the location (name of facility) to which the resident was transferred. Please do not use abpreviations for the facility name. Please review the Report Submission Guide located under "Helpful Links".	FDHC\morthie
03/18/2021 01:47:09 PM	Outcomes	Outcomes: The content of this report does not support the box you checked. If this incident was not reported to law enforcement, the check mark for "An event that is reported to law enforcement or its personnel for investigation" must be removed. DCF is not law enforcement.	FDHC\morthie
03/18/2021 01:07:56 PM	Person Reporting	Person Reporting Information: Please remove the facility license number from this section. License # refers to your individual license number, if you have one, not the facility's license number. You may verify license information by going to the "Helpful Links" tab and clicking on the appropriate link.	FDHC\morthie

Once you have answered each question in the corresponding section, resubmit the report. Please note that no checkmark will appear in the Report Details navigation panel.

Review and Submit

Your report status will remain in "New" status until you click the Submit Report button in this section. If all the sections have not been completed, errors will be listed for all missing information. Clicking on the Section Name on each error will take you back to the required page.

Report Submission Hi	story ?						
Please correct the erro	ors listed below. Once all of the errors have been corrected, please s	ubmit the report.					
Section Name Error Description							
Person Reporting	porting Title selection is missing						
Person Reporting	Risk Management Program Indicator is missing						
Person Reporting	Reporter not updated: Please enter your information and click save to update with your information.						
Incident Information	Incident Date is missing						
Incident Information	Incident Time is missing						
H 4 F F	5 • items per page	1 - 5 of 20 items					
	Cancel Report						

Each section contains mandatory information, and your report cannot be submitted without meeting all required components. Once each section is corrected, the Submit Report button will appear. Click Submit Report to transmit the report.

🖒 Submit Report

If the Submit Report button is not selected, the report will only be created and viewable to your facility. It is not considered submitted to AHCA until the Submit Report button has been clicked.

When a report is submitted, an automatic email will be sent to the person reporting notifying them a report has been submitted. No action is required for this email notification. If you do not get this email, double check the report has been submitted.

Report Status History

This section displays the history of your report. It includes what status the report is in, the description of the report, who created the report, and the status date/time. No checkmark will be given for this section.

Report Status History			
Status Code	Status Description	Created By	Status Date
SUBMITTED	The report was submitted to the Agency.	dero316	03/31/2020 10:20:17 AM
NEW	The report was created but not submitted.	morthiej	03/02/2020 1:02:41 PM
H 4 ▶ N 5 ♥ ite	ms per page		1 - 2 of 2 items

Preliminary (Immediate) Submission Required Information

In addition to the information noted in the above sections, specific information is required specifically related to preliminary/immediate reports. Some sections of the report will not be available until the full/5-day report is created. See later section for 5-day information.

Incident Information

The incident information section captures important dates, times, and notifications as required from CMS Exhibit 358. Once each section has been completed, click the Save/Next button.

Please note the slide bar for selecting time is in military time. Military time divides the day into 24 hours. The day starts at midnight and is written as "0000." The last minute of the day is written as 2359," or one minute before midnight. Slide the time slider bars to the left or right to select the time of incident. Use the left or right arrow keys on the keyboard to adjust the slider bar to an exact time.

Incident Information 🛛	
Incident Date	Incident Location
	Select 🗸
Incident Time - Slide to select time of incident. 0000	
Equipment Involved?	Who made the Allegation? Please provide full name
Date and Time Staff became aware of the incident?	Date and Time Administrator was notified of the incident.
Time Staff became aware - Slide to select time of Incident - Slide to select time of incident.	Time Administrator was notified - Slide to select time of Incident - Slide to select time of incident.
Name of staff that became aware of the incident?	Name of staff that notified the Administrator?
B Save	

Note that selecting Equipment Involved "Yes" prompts another box to open. If you select yes, you must provide a description of the equipment.

Incident Location

Please note there are 3 choices for Incident Location in the drop-down box. If the location is outside on facility grounds or anywhere in your facility (other than a patient room), that is considered "Facility Campus."

When choosing "Other," you must list a specific location other than the facility's campus.

Once all required information is supplied, clicking on Save/Next will create a checkmark to appear in the Incident Information tab on the Report Details navigation panel.

Allegations



The Allegations section provides the generalized allegation provided to the facility. The box checked must match the narrative provided. Check EVERY applicable allegation as there may be more than one for each report.

Serious Bodily Injury cannot be the only selected allegation, as it is an outcome of an allegation. A second allegation must be selected in order to receive a checkmark for the section. If Serious Bodily Injury is checked, a text box will appear requesting the type of injury obtained.

Notifications

The Notification section identifies who was notified of the allegation. If affirmative answers are provided for anything other than Physician Notification, other boxes will populate for the additional information. If any information is not supplied, the checkmark will not appear. Correct the information and click the Save/Next button.

Was the Incident reported to the Abuse Registry? ● Ves ○ No	How did you report to the Abuse Registry?	
● Yes ○ No	Online O Telephone	
Keport Number it available	DCF Agent Name	
2/2	n/a	
iya	Date reported to Abuse Registry	
Was the allegation reported to the resident representative?	10/17/2023	m
● Yes ○ No	Time reported to Abuse Registry - Slide to select time of incident. 0853	
Date and time when the allegation was reported to resident representative		
10/17/2023	External Agencies Notified?	
Time Reported to Resident Representative - Slide to select time of incident. 0820	● Yes ○ No	
	11 A A A A A A A A A A A A A A A A A A	
	List Agencies Notified	
Physician Notified?	Others I aw Enforcement	
Yes O No	Board of Nursing	
	Nurse Aide Registry	
	Name of the Law Enforcement Agency	
	Pinellas County Sheriff's Department	
	reported to the resident representative? 10/17/2023 then the allegation was reported to resident representative Immereported to Abuse Registry - Slide to select time of incident. 0853 then the allegation was reported to resident representative Immereported to Abuse Registry - Slide to select time of incident. 0853 then the allegation was reported to resident representative Immereported to Abuse Registry - Slide to select time of incident. 0820 the select time of incident. 0820 Immereported to Abuse Registry - Slide to select time of incident. 0823 frequencies Notified Immereported to Abuse Registry - Slide to select time of incident. 0820 frequencies Notified Immereported to Abuse Registry No Immereported to Abuse Registry Name of the Law Enforcement Agency Immereported to Law Enforcement Agency Immereported to Law Enforcement Agency Immereported to Law Enforcement Agency Immereported to Law Enforcement Agency Immereported to Law Enforcement Agency Immereported to Law Enforcement Agency Immereported to Law Enforcement Agency	
	Dispatch	
	Date Reported to Law Enforcement Agency	
	10/17/2023	Ê
	Time Reported to Law Enforcement Agency - Slide to select time of incident. 0855	

Individuals Involved

The Individuals Involved section should include all personnel and witnesses that made contact with the resident(s) or who were in any way involved during and/or after the incident. If you have a staff

perpetrator, you will identify their perpetrator status in this section. You will not re-identify any residents previously listed here.

Name	Last Name	Relationship	5 I	Perpetrator	Witness	Involvement	Phone#	License #	Action	
					iớ Next					
ld Individ	ual					×	Similar to t	he Residei	nt	
	2		a had a second		al at be been be		Information	n section f	unctions	, to
Please identif resident does	y all personnel and wi not need to be re-ide	tnesses that made conta entified in this section.	act with the patient o	or resident during o	r after the incident. Th	ne patient or	add an indi	vidual invo	olved, cli	ck
Describe the	capacity in which the	ndividual was involved i	n the incident. Exam	ples for licensed an	id unlicensed personr	el include job	the Add Inc	lividual bu	itton.	
titles such as family memb	RN, CNA, etc. Example er, visitor, another res	es for Involved Parties ar dent, etc.	nd Witnesses include	the relationship to	the patient or resider	nt, such as				
First Name							You will nee	ed to iden	tify for e	ach
							norson liste	d whatha	r thou w	oro
Last Name							personniste			
							perpetrato	r or witnes	s by clic	king
Perpetra	tor		Witnes	s			the respect	ive check	ooxes.	
Contact Info	rmation (Phone)									
							If licensed	personnel	is checke	ed, a
Relationship							license nun	nber (inclu	iding pre	fix)
Select						~	roquirod			
Involvement	- Describe the capaci	ty in which the individua	I was involved in the	e incident.			requireu.			
		2 🛱	iave 🗙 Cance	H						
duals involved	9									
									Add In	dividual
st Name	Last Name	Relationship	Perpetrator	Witness	Involvement		Phone#	License #	Action	
nie	Mouse	Licensed Personnel	No	Yes	RN identified b	ruising to shoulder.	(407) 939-5277	RN10102345	2 0	

Should any details need to be edited, use the Action tab. To edit, click on the edit icon.



To delete the information, click on the delete icon. Once complete, click save.

As a reminder, license numbers can be looked up under the Florida Department of Health MQA License Verification website. The hyperlink can be found under the "Helpful Links" tab on the dashboard.

Allegation Details

The Allegation Details tab is broken down into two sections: Allegation Details and Immediate Steps Taken.

Allegation Details captures a brief description of the incident. It also includes a section for any resident outcome to be noted. This is the section capturing the who, what, when, and where information of the report.

Immediate Steps Taken should capture all steps taken in response to the incident/allegation.

To add information to either required section, you will need to click the Add button.

Allegation Details 0					
Please provide a brief, but detailed descri	Please provide a brief, but detailed description of the allegation/incident.				
T	Hore Menor	P.M.	a satura	Add	
lext	User Name	Date	Action		
Please provide a brief, but detailed descri	Please provide a brief, but detailed description of any outcome to the resident(s), including any physical injuries and psychosocial outcome.				
Text	User Name	Date	Action		
Immediate Steps Taken 🖸					
Please provide all steps taken immediatel	y in response to the incident.				
				Add	
Text	User Name	Date	Action		
Action					
ô Net					

Clicking on the question mark next to the section heading will provide more detailed information on what is expected to be provided.

Allegation Details

Please don't copy and paste information from Exhibit 358 and 359 in this section. All the required components are found within other sections of the report. Only provide the pertinent information related to the statements/questions in that section.

When you click the Add button, a popup window will open allowing you to type the information you wish to provide. Be sure to click on Save to move to the next section.

When all sections have been completed, click on the Next button.

Full (5-day) Submission Required Information

Full report detail of the event is due within 5 business days of the event. That detail should include the analysis of the incident, conclusion, and any corrective action if required. Submission of a full (5-day report) cannot be done unless the report is put into "Full Report Mode."

Full Report Mode

Full Report Mode automatically occurs when the immediate report has been submitted and Agency staff have changed the report status to "Reviewed."

If a report has not been changed to "Reviewed" by Agency staff and/or the report is in "Need Info" status, the full report can still be submitted by changing the report mode. To change the report mode, go

to the Investigation tab. You will see a box in the upper right corner where you can change the report mode to Full.

Report #: Report Status: NEED INFO Provider Name:	User Name:	•
Report Type: Adverse Inciden	Provider Type: Assisted Living I	
Incident Date: 03/29/2018	Report Mode: Preliminary	
hange Report Mode 🛛	G Change Report N	1ode
Change Report Mode		×
Please change the report mode from Pr Agency.	eliminary to Full to submit a full report along with the additional information requested by the	
\odot Preliminary \bigcirc Full		
	H Save Cancel	

Any information that had been requested from the "Need Info" status will still need to be provided, but the Analysis and Conclusion sections will become available.

Please note that report statuses cannot be reverted to Preliminary once Full has been marked.

Analysis

The Analysis section should contain the facility's information regarding the investigation, including all steps taken to investigate the allegation, all interviews conducted with individuals involved, and any information gathered from record reviews. The analysis should explain how and why an incident occurred and include facts and findings from the facility's investigation. You will want to click the Add button to add information to the corresponding sections.

Investigative Findings 🔞			^		
Please provide a detailed description of the facility's investigation. Include ALL steps taken to investigate the allegation. Include any visual or non-verbal cues from the resident to indicate any distress or harm					
Text	User Name	Date Action			
Interviews Conducted 📀			^		
Please provide a summary of th	Please provide a summary of the facility's interview with the following participants: alleged victim(s), alleged perpetrator(s), witness(es), any staff responsible for oversight and supervision of the resident(s) and/or perpetrator(s) location.				
Text	User Name	Date Action			
Record Reviews 😡			^		
Please provide a summary of re	levant resident records reviewed related to t	incident. If a resident-to-resident allegation has occurred, provide relevant details on what may have caused the resident's behavior			
Text	User Name	Date Action			
Action					
Q* Not					

Clicking on the ? next to each section will provide significantly more detailed instructions on what is required and comes from CMS Exhibit 359. Please don't copy from that report into the section. There is no need to repeat any information previously provided in the preliminary/immediate report.



Conclusion

The Conclusion section allows the facility to indicate findings from the investigation, including findings of Verified, Not Verified, and Inconclusive. Facilities will provide a brief but detailed description of the conclusion of the investigation and describe all corrective actions. The facility investigator will also be identified in this section. Click the Add button to provide pertinent information to the section. Clicking on the ? next to each heading will provide more detailed information directly from Exhibit 359.

Conclusion								~
Provide a brief, but detailed description of the conclusion of the investigation and indicate if the findings were: Verified, Not Verified, or Inconclusive. NOTE: For incidents reported as injuries of unknown source, indicate if the injury resulted from abuse or neglect, based on evidence from the investigation								
Text	Status	User Name	Date		Action			
Corrective Action 🔞								~
Provide in detail a summary	of all corrective action(s) taken.							
Text	User Name		Date	Action				
Facility Investigator								79,51
Investigator Name	Is the report writer also	he person responsible for conducting the investigation	on?		User Name	Date	Action	
Action								
			Ø Next					

Be sure to save each section. When complete, click next and go to the Review and Submit tab to transmit the report. Again, an automated email will be sent to the submitting user notifying them the report has been submitted.

What Happens After Submission

Email Communication

As previously indicated, there are multiple times when a submitting user will receive emails from the Agency. Automated emails will go out each time a report is submitted. Further, if additional information is requested by Agency staff, a daily email will be sent to the submitting user notifying them. Again, the email itself doesn't contain the information required and the user will need to log in to the system and view the Comments section to determine what other information is required.

Any time a report is late, an automated email will also be sent to the reporting user alerting them that an outstanding report exists. To stop the emails, simply complete the report and resubmit.

If you receive an email from the Agency, please respond as promptly as possible as review staff are tasked with determining survey needs from the report.

Need Info Status

At any time, Agency staff may place your report into "Need Info" status. Please log in to view the comments section and promptly respond to any requests for additional information. Ensure you resubmit the report each time you provide necessary updates.

Cancel or Withdraw Reports

Providers may withdraw or cancel reports as they deem appropriate at any time. Please be aware that if you withdraw a report, it is no longer considered submitted to the Agency. If a surveyor deems the event to be reportable and you have withdrawn it, you may be cited for failure to submit a report.

Under the Review and Submit tab, click on ^(C) Withdraw. Another window will open for you to indicate you do wish to perform the action.

Cancelling a report occurs prior to submission if a user decides the event is not reportable. Once a report is submitted to the Agency, the report cannot be cancelled, only withdrawn.

Under the Review and Submit tab, click on Cancel Report. Another window will open for you to indicate you do wish to perform the action.

Troubleshooting

If you experience any technical difficulties, please clear your cookies/cache, and reboot your computer. You may need to try using a different search engine.

Agency staff are available if needed by following the contact methods in the Contact AHCA section.

<u>Remember to save your work as you go. The system will time out after one hour. If that occurs and the</u> work has not been saved, you will have to re-enter the information.

Contact AHCA

Federal reporting staff can be reached in the following ways:

Email: FEDREP@AHCA.myflorida.com

Phone: 850-412-4489